# **OFFICE OF THE VICE CHANCELLOR FOR**

### RESEARCH AND DEVELOPMENT

# **University of the Philippines Diliman**

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### APPLICATION FOR IP PROTECTION GRANTS

**ABOUT THE PROJECT**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Title of IP:** |  | | | | | |
| **Disclosed to OVCRD?** | [ ] Yes  [ ] No\* | | **Disclosure Ref. No.**\*\***:** | |  | |
|  | |
|  | *\*If “No”, submit a disclosure along with the application*  *\*\*To be filled in by UPD-TTBDO, when applicable* | | | | | |
|  |  | | | | | |
| **Type of Grant:** | [ ] PH Protection [ ] Foreign Protection | | | | | |
| **Duration:** |  | month/s | **Proposed Budget:** | PHP | |  |

**ABOUT THE PROPONENT / PROJECT LEADER**

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| --- | --- | --- | --- | --- | --- | --- | --- |
| **Last Name:** |  | | | | | | |
| **First Name:** |  | | | | | | |
| **Middle Name:** |  | | | | | | |
| **Residence Address:** |  | | | | | | |
|  | | | | | | |
| **Contact No/s.:** |  | | | | | | |
| **E-mail Address/es:** |  | | | | | | |
| **Position:** |  | | | **Rank:** | |  | |
| **Nature of Appointment:** | [ ] Permanent | | | [ ] Temporary | | | |
| **College/Unit:** |  | | | **Department:** | | |  |
| **Highest Educational Attainment:** | |  | | | | | |
| **Available for interview at OVCRD if required?** | | [ ] Yes | [ ] No | | [ ] Uncertain | | |
| *Note: An interview may be used in the assessment of a proposal. It does not guarantee approval of the request for funding.* | | | | | | | |

### PROPOSAL

**PART 1. ABOUT THE INTELLECTUAL PROPERTY**

* 1. **Title of the Intellectual Property**
  2. **Abstract**

This is a brief summary that reflects the contents of the proposal, intelligible to the lay reader, and not more than 1,000 characters.

* 1. **Keywords to Describe the IP** (maximum of 5)
  2. **Details of the IP**

This part discusses more about the IP which will be the subject of protection

1. **Brief Description of the IP**

* Describe what the IP does and how it works.

1. **Novelty of the IP**

* Describe why the current IP is different from existing IP such as products, processes, or technologies.
* The IP does not necessarily need to be advantageous as a product, but it needs to be different.

1. **Uses of the IP**

* Identify the uses of the IP, in particular, its market potential and use for the public/society.
* If the proposal is for a Foreign Protection Grant, data on market potential in target country/ies where protection is sought must be provided.

1. **Type of Protection Proposed**

* Identify the type of IPR or certification:
  + Mandatory (at least 1):
    - Patent/s
    - Utility Model/s
    - Industrial Design/s
    - Other Certification: \_\_\_\_\_\_\_\_\_\_\_\_\_
  + Optional:
    - Trademark/s
    - Copyright/s
    - Patent Cooperation Treaty
* Indicate the country/ies (for Foreign Protection Grant) as well.

1. **Technology Readiness Level (for Invention/s)**

* Choose the appropriate level of technology readiness from the table below. Provide details supporting the TRL (e.g. “a lab-scale prototype was developed under a University-funded grant”)
* For creative works and non-invention IPs, describe how commercially ready the work is.

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| --- | --- |
| **TRL** | **Description** |
| **0** | **Idea.** Unproven concept, no testing has been performed. |
| **1** | **Basic research.** Principles postulated and observed but no experimental proof of value |
| **2** | **Technology formulation.** Concept and application have been formulated. |
| **3** | **Applied research.** First laboratory tests completed; proof of concept. |
| **4** | **Small scale prototype** built in a laboratory environment (“ugly” prototype). |
| **5** | **Large scale prototype** tested in intended environment. |
| **6** | **Prototype system** tested in intended environment close to expected performance. |
| **7** | **Demonstration system** operating in operational environment at pre-commercial scale |
| **8** | **First of a kind commercial system.** Manufacturing issues solved. |
| **9** | **Full commercial application,** technology available for customers. |

*Source: European Commission*

1. **Future Plans for the IP**

* Discuss the prospects of the Proponent for the IP. Are there plans for further R&D? With whom? Are there plans to commercialize or license?

1. **Funding Agency/ies**

* Identify the source/s of funding for the development of the IP to its current form.
* Funding for further development may also be indicated.
* Include collaborators, whether or not funding was provided.

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| --- | --- | --- | --- | --- | --- |
| Funding Organization | Fund Source Classification\* | Amount approved/ Amount applied for | Part of study/LIB funded | Other forms of assistance | Period of funding |
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# \*UPD, UPSys, Gov't, DOST Councils, Foreign, Others

* Please provide a draft/copy of existing MOA with funding agencies for review of IP ownership.

1. **Creator/s**

* Provide details of each creator (author/ developer/ designer/ inventor) of the current IP, with clear description of responsibilities of the creator during the development of the IP.

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| --- | --- | --- | --- | --- |
| Name | Responsibilities during IP development | Dept/Unit during IP development | Current affiliation/ location (if not UP) | E-mail address |
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##### PART 2. DETAILS OF THE PROPOSAL

* 1. **Objectives of the Project**
* Identify the specific expected outputs based on the type of protection and guidelines.

# **Workplan and Schedule of Activities**

* Enumerate the critical activities to be undertaken for every major phase and specify expected outputs for each activity.
* Use a Gantt Chart.
  1. **Proposed Budget**
* The grant may be utilized for the following Maintenance and Other Operating Expenses (MOOE):
  + Other services under MOOE such as Project Leader honoraria and other project staff salaries (honoraria/salaries shall follow UP rates and COA guidelines; these shall be taxable);
  + MOOE such as supplies and materials, meals, transportation and travel expenses, equipment rental, sundry (reproduction, communication, internet services), and honoraria for non-UP personnel (such as law firms, patent agents) to be hired for the project.

|  |  |  |
| --- | --- | --- |
| Item (MOOE) | Unit cost | Total |
| Project Leader honorarium |  |  |
| Project Staff salary |  |  |
| Professional Expenses |  |  |
| Supplies and materials |  |  |
| Sundry |  |  |
| TOTAL |  |  |

# **Cooperating Agencies**

* Are you applying for or receiving support for the same IP from any other source, *for the same purpose*, including incubation assistance? If yes, supply information on the following:

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| --- | --- | --- | --- | --- | --- |
| Funding Organization | Fund Source Classification\* | Amount approved/ Amount applied for | Part of study/LIB funded | Other forms of assistance | Period of funding |
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# \*UPD, UPSys, Gov't, DOST Councils, Foreign, Others

* Please provide a draft/copy of existing MOA with cooperating agencies for review of IP ownership.

# **Track Record of the Proponent**

* Attach updated curriculum vitae.
* List all research/creative projects undertaken in the last 5 years.

|  |  |  |  |
| --- | --- | --- | --- |
| Project Title | Year Implemented, Funding Agency, Amount of Funding | Outputs generated from Project | Work Cited (at most three) |
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**PART 3. CERTIFICATION**

# I certify that all information/data in this proposal are true to the best of my knowledge. I understand and agree that the OVCRD will keep all the documents I submitted in connection with my application for an IP Protection Grant, whether the application is approved for funding or not.

# **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

Signature of Proponent

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date

**PART 4. ENDORSEMENTS**

I certify that I have reviewed this IP Protection Grant Proposal for the Intellectual Property “\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_” and I am recommending it for funding for protection. The proponent has the required capability/expertise to undertake and complete the proposed project. The protection will contribute to the development of the IP towards its utilization.

Furthermore, considering the overall academic track record and other ongoing commitments of the proponent, I have the highest confidence that the proponent can finish the project within the proposed duration.

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|  |  |  |  |  |
|  | Department Chair/Director |  | College Dean |  |
|  |  |  |  |  |
|  | Date |  | Date |  |